



A Global Strategic Player

For more than 85 years, Caterpillar Inc. has been making sustainable progress possible and driving positive change on every continent. With 2012 sales and revenues of \$65.875 billion, Caterpillar is the world’s leading manufacturer of construction and mining equipment, diesel and natural gas engines, industrial gas turbines and diesel-electric locomotives. The company also is a leading services provider through Caterpillar Financial Services, Caterpillar Remanufacturing Services and Progress Rail Services.

Stock Performance

CAT (Common Stock)	
Exchange	NYSE (US Dollar)
Price	\$137.61
Change (%)	▼ 0.4 (0.29%)
Volume	523,155
52 Week Low	\$90.34
Market Cap	\$81,868,810,219
Rolling EPS	3.69
PE Ratio	37.2927
Shares Outstanding	594,933,582
Data as of 11/24/17 11:35 a.m. ET	



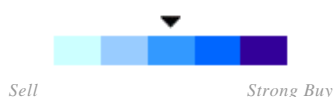
Recent Headlines & Events

- 11/09/17 - 9:02 a.m.
[Caterpillar to Participate in Goldman Sachs Conference on November 15; Webcast Available](#)
- 11/03/17 - 9:01 a.m.
[Caterpillar to Participate in Baird Industrial Conference on November 7; Webcast Available](#)
- 10/24/17 - 7:32 a.m.
[Cat Financial Announces Third-Quarter 2017 Results](#)

Date	Title
11/30/17	Caterpillar Inc. at Credit Suisse 5th Annual Industrials Conference

Analyst Estimates / Ratings

Mean Recommendation: **2.6**



EPS Trend		Current	30 Days Ago	90 Days Ago
QTR	Dec 17	1.71	1.27	1.25
QTR	Mar 18	1.70	1.54	1.43
QTR	Jun 18	2.06	2.04	1.84
QTR	Sep 18	2.12	1.56	1.39
FY	Dec 17	6.40	5.29	5.24
FY	Dec 18	7.81	6.69	6.56

SEC Filings

Filing Date	Form	
11/21/17	4	
11/20/17	424B2	
11/15/17	424B2	
11/13/17	8-K	

Ownership Summary

Shareholders				
	Holders	Value (\$MM)	% O/S	Shares
Institution	1,660	52,157.78	70.7	420,376,639
Mutual Fund	1,726	26,197.99	35.4	210,444,709
Insider *	23	81.44	0.1	824,882

*Insider values reflect direct beneficial ownership.

Top Holders				
	Shares Held	% O/S	Share Change	Filing Date
State Street Global Advisors (US)	49,274,541	8.3	-1,610,345	09/30/17
The Vanguard Group, Inc.	42,221,399	7.1	996,224	09/30/17
BlackRock Institutional Trust Company, N.A.	26,908,493	4.5	272,620	09/30/17
State Farm Insurance Companies	21,017,733	3.5	0	09/30/17
Capital World Investors	20,878,703	3.5	-289,793	09/30/17
Fidelity Management & Research Company	18,642,991	3.1	-334,594	09/30/17
Wellington Management Company, LLP	14,697,294	2.5	-522,312	09/30/17
Bill & Melinda Gates Foundation	11,260,857	1.9	0	09/30/17
PRIMECAP Management Company	9,644,283	1.6	-99,900	09/30/17
Geode Capital Management, L.L.C.	5,888,341	1.0	382,384	09/30/17

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Minimum 20 minutes delayed