



A Global Strategic Player

For more than 85 years, Caterpillar Inc. has been making sustainable progress possible and driving positive change on every continent. With 2012 sales and revenues of \$65.875 billion, Caterpillar is the world's leading manufacturer of construction and mining equipment, diesel and natural gas engines, industrial gas turbines and diesel-electric locomotives. The company also is a leading services provider through Caterpillar Financial Services, Caterpillar Remanufacturing Services and Progress Rail Services.

Stock Performance

CAT (Common Stock)	
Exchange	NYSE (US Dollar)
Price	\$124.47
Change (%)	▼ 0.27 (0.22%)
Volume	1,015,009
52 Week Low	\$80.33
Market Cap	\$73,558,383,420
Rolling EPS	3.69
PE Ratio	33.7317
Shares Outstanding	590,972,792

Data as of 09/20/17 12:23 p.m. ET



Recent Headlines & Events

09/12/17 - 9:02 a.m.

[Caterpillar to Participate in RBC Industrials Conference on September 14; Webcast Available](#)

09/11/17 - 9:03 a.m.

[Caterpillar to Participate in Morgan Stanley Conference on September 13; Webcast Available](#)

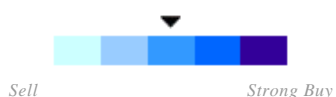
09/08/17 - 9:01 a.m.

[Caterpillar Again Named to Dow Jones Sustainability Indices](#)

Date	Title
10/24/17	Third Quarter 2017 Results Release

Analyst Estimates / Ratings

Mean Recommendation: **2.5**



EPS Trend		Current	30 Days Ago	90 Days Ago
QTR	Sep 17	1.23	1.23	0.92
QTR	Dec 17	1.25	1.25	0.91
QTR	Mar 18	1.46	1.43	1.45
QTR	Jun 18	1.84	1.80	1.39
FY	Dec 17	5.24	5.24	4.31
FY	Dec 18	6.55	6.56	5.24

SEC Filings

Filing Date	Form	
09/18/17	424B2	
09/18/17	FWP	
09/12/17	8-K	
09/12/17	8-K	

Ownership Summary

Shareholders				
	Holders	Value (\$MM)	% O/S	Shares
Institution	1,577	45,216.32	71.4	421,877,141
Mutual Fund	1,680	23,649.63	36.5	215,855,436
Insider *	24	82.28	0.1	846,941

*Insider values reflect direct beneficial ownership.

Top Holders				
	Shares Held	% O/S	Share Change	Filing Date
State Street Global Advisors (US)	50,884,886	8.6	-1,560,829	06/30/17
The Vanguard Group, Inc.	41,225,175	7.0	2,377,623	06/30/17
BlackRock Institutional Trust Company, N.A.	26,635,873	4.5	354,067	06/30/17
Capital World Investors	21,168,496	3.6	26,919	06/30/17
State Farm Insurance Companies	21,017,733	3.6	0	06/30/17
Fidelity Management & Research Company	18,977,585	3.2	1,943,810	06/30/17
Wellington Management Company, LLP	15,219,606	2.6	408,521	06/30/17
Bill & Melinda Gates Foundation	11,260,857	1.9	0	06/30/17
PRIMECAP Management Company	9,744,183	1.7	2,485,120	06/30/17
Harris Associates L.P.	6,767,448	1.2	-2,314,221	06/30/17

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Minimum 20 minutes delayed