



Advanced Search



Each depositor insured to at least \$250,000 per insured bank

Beneficial Ownership Filings: Filing

Form 4

Statement of Changes in Beneficial Ownership of Securities

Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

FRB OMB Number: 7100-0091

FDIC OMB Number: 3064-0030

OCC OMB Number: 1557-0106

Filing Information														
No longer subject to Section 16. Form 4 or Form 5 obligations may continue. <input type="checkbox"/>														
Issuer Name				Ticker or Trading Symbol				Date of Earliest Transaction Required to be Reported			If Amendment, Date of Original Filing			
First Republic Bank				FRC				01/22/2015						
Filer Information														
Name of Reporting Person		Street Address				City		State	ZIP Code	Relationship of Reporting Person to Issuer				
Duncan L. Niederauer		c/o First Republic Bank 111 Pine Street, 2nd Floor				San Francisco		CA	94111	Director				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Title of Security		Transaction Date	Deemed Execution Date	Transaction Code	V	Amount of Securities Acquired or Disposed of		Price of Securities Acquired or Disposed of	Amount of Securities Beneficially Owned Following Reported Transactions		Ownership Form	Nature of Indirect Beneficial Ownership		
Common Stock(1)		01/23/2015		P		10,000 Shares (A)		\$51.35	10,000 Shares		Direct			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Title of Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction Date	Deemed Execution Date	Transaction Code	V	Number of Derivative Securities Acquired or Disposed of	Date Exercisable	Expiration Date	Title of Underlying Securities	Amount of Underlying Securities	Price of Derivative Security	Number of Derivative Securities Beneficially Owned Following Reported Transactions	Ownership Form of Derivative Securities	Nature of Indirect Beneficial Ownership
Restricted Stock Units(2)		01/22/2015		A		1,156 Shares (A)	06/16/2015	06/16/2015	Common Stock	1,156 Shares		1,156	Direct	
Explanation of Responses														
(1) These shares were purchased at prices between \$51.13 and \$51.44.														
(2) Restricted stock units are awarded under the Company's 2010 Omnibus Award Plan and vest on June 16, 2015.														
* Signed by: _____ (s/Michael J. Roffler, Attorney-in-Fact) Date: 01/26/2015														
The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4,														

and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation. The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.
* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

[Return to Previous Screen](#)

Last Updated 9/30/2004

FDICconnect@fdic.gov

[Home](#) | [Contact Us](#) | [Search](#) | [Help](#) | [SiteMap](#) | [Forms](#) | [En Español](#)

[Website Policies](#) | [Privacy Policy](#) | [Plain Writing Act of 2010](#) | [USA.gov](#) | [FDIC Office of Inspector General](#)

[Freedom of Information Act \(FOIA\) Service Center](#) | [FDIC Open Government Webpage](#) | [No FEAR Act Data](#)