

GAIN Monthly Operating Metrics

	Continuing Operations											
<i>(Volume in billions)</i>	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	Jul-19	Aug-19	Sep-19	Oct-19	Nov-19	Dec-19
Retail Segment												
OTC Trading Volume ⁽¹⁾	\$184.0											
OTC Average Daily Volume	\$8.4											
12 Month Trailing Active OTC Accounts ⁽²⁾	122,581											
3 Month Trailing Active OTC Accounts ⁽²⁾	70,150											

Futures Segment	
Number of Futures Contracts	591,123
Futures Average Daily Contracts	28,149
12 Month Trailing Active Futures Accounts ⁽²⁾	7,673
Trading Days - Retail	22
Trading Days - Futures	21

	Continuing Operations											
<i>(Volume in billions)</i>	Jan-18	Feb-18	Mar-18	Apr-18	May-18	Jun-18	Jul-18	Aug-18	Sep-18	Oct-18	Nov-18	Dec-18
Retail Segment												
OTC Trading Volume ⁽¹⁾	\$249.0	\$283.5	\$262.8	\$222.4	\$243.3	\$213.9	\$182.3	\$174.6	\$149.6	\$231.2	\$207.2	\$192.6
OTC Average Daily Volume	\$11.3	\$14.2	\$11.9	\$10.6	\$11.1	\$10.2	\$8.3	\$7.6	\$7.5	\$10.1	\$9.4	\$9.6
12 Month Trailing Active OTC Accounts ⁽²⁾	132,783	133,545	131,764	130,808	131,036	130,018	130,983	128,949	129,182	127,054	123,932	123,171
3 Month Trailing Active OTC Accounts ⁽²⁾	81,034	80,681	78,681	75,680	77,603	76,654	77,361	73,144	71,597	70,225	67,632	68,696

Futures Segment	
Number of Futures Contracts	674,160
Futures Average Daily Contracts	32,103
12 Month Trailing Active Futures Accounts ⁽²⁾	7,924
Trading Days - Retail	22
Trading Days - Futures	21

	Continuing Operations											
<i>(Volume in billions)</i>	Jan-17	Feb-17	Mar-17	Apr-17	May-17	Jun-17	Jul-17	Aug-17	Sep-17	Oct-17	Nov-17	Dec-17
Retail Segment												
OTC Trading Volume ⁽¹⁾	\$210.0	\$170.2	\$239.2	\$185.0	\$219.5	\$241.8	\$204.5	\$237.7	\$202.6	\$192.5	\$207.0	\$163.6
OTC Average Daily Volume	\$9.5	\$8.5	\$10.4	\$9.3	\$9.5	\$11.0	\$9.7	\$10.3	\$9.6	\$8.8	\$9.4	\$8.2
12 Month Trailing Active OTC Accounts ⁽²⁾	124,916	131,192	136,829	135,918	135,070	134,120	132,436	133,965	133,813	133,599	131,825	132,262
3 Month Trailing Active OTC Accounts ⁽²⁾	71,921	76,513	83,145	83,892	85,698	83,511	83,177	83,459	82,275	81,363	80,102	80,122

Futures Segment	
Number of Futures Contracts	643,797
Futures Average Daily Contracts	32,190
12 Month Trailing Active Futures Accounts ⁽²⁾	8,303
Trading Days - Retail	22
Trading Days - Futures	20

	Continuing Operations											
<i>(Volume in billions)</i>	Jan-16	Feb-16	Mar-16	Apr-16	May-16	Jun-16	Jul-16	Aug-16	Sep-16	Oct-16	Nov-16	Dec-16
Retail Segment												
OTC Trading Volume ⁽¹⁾	\$318.7	\$276.3	\$266.7	\$255.8	\$223.4	\$231.7	\$212.7	\$191.9	\$207.8	\$190.9	\$257.0	\$189.1
OTC Average Daily Volume	\$15.9	\$13.2	\$11.6	\$12.2	\$10.2	\$10.5	\$10.1	\$8.3	\$9.4	\$9.1	\$11.7	\$8.6
12 Month Trailing Active OTC Accounts ⁽²⁾	139,653	139,515	132,452	136,336	135,133	135,369	135,544	132,367	129,921	128,367	128,382	126,528

Futures Segment	
Number of Futures Contracts	812,097
Futures Average Daily Contracts	42,742
12 Month Trailing Active Futures Accounts ⁽²⁾	8,329
Trading Days - Retail	20
Trading Days - Futures	19

GAIN Monthly Operating Metrics

	Continuing Operations											
<i>(Volume in billions)</i>	Jan-15	Feb-15	Mar-15	Apr-15	May-15	Jun-15	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15
Retail Segment												
OTC Trading Volume ⁽¹⁾	\$318.9	\$244.4	\$331.4	\$384.1	\$364.4	\$411.8	\$382.1	\$388.1	\$348.3	\$292.6	\$236.0	\$283.9
OTC Average Daily Volume	\$15.2	\$12.2	\$15.1	\$17.5	\$17.4	\$18.7	\$16.6	\$18.5	\$15.8	\$13.3	\$11.2	\$12.9
12 Month Trailing Active OTC Accounts ⁽²⁾	92,529	93,490	95,245	142,824	143,705	144,737	144,620	146,553	145,713	144,186	144,724	142,836
Futures Segment												
Number of Futures Contracts	742,751	709,563	928,759	682,377	677,590	695,911	666,002	737,937	799,517	685,628	567,369	729,988
Futures Average Daily Contracts	37,138	37,345	42,216	32,494	33,880	31,632	30,273	35,140	38,072	32,649	28,368	33,181
12 Month Trailing Active Futures Accounts ⁽²⁾	8,324	8,462	8,562	8,627	8,668	8,799	8,812	8,845	8,567	8,812	8,778	8,668
Trading Days - Retail	21	20	22	22	21	22	23	21	22	22	21	22
Trading Days - Futures	20	19	22	21	20	22	22	21	21	21	20	22

(1) U.S. dollar equivalent of notional amounts traded.

(2) Accounts that executed a transaction during the relevant period.