





Advanced Search

FRB OMB Number: 7100-0091

FDIC OMB Number: 3064-0030

OCC OMB Number: 1557-0106





Each depositor insured to at least \$250,000 per insured bank

Beneficial Ownership Filings: Filing

Form 4

Statement of Changes in Beneficial Ownership of Securities Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

Filing Information No longer subject to Section 16. Form 4 or Form 5 obligations may continue. **Ticker or Trading Symbol** If Amendment, Date of Original Filing **Issuer Name** Date of Earliest Transaction Required to be Reported **FRC** 02/27/2015 First Republic Bank **Filer Information** ZIP Code Relationship of Reporting Person to Name of Reporting Street Address City State Person Issuer David B. Licthman c/o First Republic Bank San Francisco CA 94111 Officer 111 Pine Street. 2nd Floor **Description:** Executive Vice President and Chief Credit Officer Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Title of Security Transaction Deemed Transaction V **Amount of Securities** Price of **Amount of Securities** Ownership **Nature of Indirect** Date **Execution Date** Code Acquired or Disposed of Securities **Beneficially Owned** Form **Beneficial Ownership** Acquired Following Reported Transactions Disposed 02/27/2015 Common Stock(1)(2)(3) 2,609 Shares (D) \$57.00 34,602 Shares Direct 02/27/2015 Μ 1,250 Shares (A) \$57.00 1.250 Shares Common Stock Indirect By spouse Common Stock(4) 02/27/2015 542 Shares (D) \$57.00 708 Shares Indirect By spouse Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned Transaction Title of Derivative Conversion Number of Price of Nature of Deemed **Fransaction** Date Expiration Title of Amount of Number of Ownership Execution Exercisable Date Underlying Underlying Derivative Security or Exercise Date Derivative Derivative Form of Indirect Code Derivative Price of Date Securities Securities | Security Securities Beneficial

	Derivative Security				Acquired or Disposed of				Beneficially Owned Following Reported Transactions	Securities	Ownership
Employee Stock Option(right to buy)(5)	\$15.00					07/01/2020	Common Stock		105,000	Direct	
Employee Stock Option(right to buy)(5)	\$15.00					07/01/2020	Common Stock		36,487	Indirect	By spouse
Restricted Stock Units(6)						04/23/2016	Common Stock		2,500	Indirect	By spouse
Restricted Stock Units(7)		02/27/2015	М	1 11 '	250 nares (D)	03/01/2017		1,250 Shares	2,500	Indirect	By spouse
Restricted Stock Units(8)						06/16/2018	Common Stock		5,000	Indirect	By spouse

Explanation of Responses

- (1) 15,000 shares are subject to a restricted stock award made on March 22, 2012 and 3,750 shares subject to such restricted stock award become vested on each March 22, 2013, 2014, 2015 and 2016.
- (2) A restricted stock award of 20,000 shares was made on March 1, 2013, and 5,000 shares became vested on each March 1, 2014, 2015, 2016 and 2017, in each case dependent on the achievement of certain performance goals.
- (3) 2,609 shares were withheld (not sold) on February 27, 2015 to pay income tax obligations upon vesting of restricted stock.
- (4) 542 shares were withheld (not sold) on February 27, 2015 to pay income tax obligations upon vesting of restricted stock.
- (5) The options with respect to the shares of common stock are currently exercisable.
- (6) Restricted Stock Units (RSUs) are awarded under the Company's 2010 Omnibus Award Plan and 1,250 units vest annually on April 23, 2013, 2014, 2015 and
- (7) An award of 5,000 RSUs was made on March 1, 2013 and 1,250 units vest annually on March 1, 2014, 2015,2016 and 2017, in each case dependent on the achievement of certain performance goals.
- (8) An award of 5,000 RSUs was made on June 16, 2014 and 1,250 units vest annually on June 16, 2015, 2016, 2017 and 2018, in each case dependent on the achievement of certain performance goals.

^ Signed by:(/s/David B. Lictnman)	Date: 03/03/2015
The agencies are collecting the information on Forms 3, 4, and 5	pursuant to 15 USC 78I, to as	ssist investors in making investment decisions. The burden estimate for providing the required information on
Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Con	nments on the accuracy of thi	is burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal
Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State	nonmember banks); Cindy A	youch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW,
Mailstop 41, Washington, DC 20551 (for State member banks); or	Jessie Dunaway, Clearance	Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW,
Mailstop 8-4, Washington, DC 20219 (for National banks); or Mar	ilyn Burton, Senior Paralegal	(Regulations), Chief Counsel, Regulations & Legislation . The agencies may not conduct or sponsor, and a

respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.

* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Return to Previous Screen

Last Updated 9/30/2004

FDICconnect@fdic.gov

Home | Contact Us | Search | Help | SiteMap | Forms | En Español

Website Policies | Privacy Policy | Plain Writing Act of 2010 | USA.gov | FDIC Office of Inspector General Freedom of Information Act (FOIA) Service Center | FDIC Open Government Webpage | No FEAR Act Data