



Advanced Search



Each depositor insured to at least \$250,000 per insured bank

Beneficial Ownership Filings: Filing

Form 4

Statement of Changes in Beneficial Ownership of Securities
 Filed pursuant to Section 16(a) of the Securities Exchange Act 1934

 FRB OMB Number: 7100-0091
 FDIC OMB Number: 3064-0030
 OCC OMB Number: 1557-0106

Filing Information															
No longer subject to Section 16. Form 4 or Form 5 obligations may continue. <input type="checkbox"/>															
Issuer Name			Ticker or Trading Symbol			Date of Earliest Transaction Required to be Reported			If Amendment, Date of Original Filing						
First Republic Bank			FRC			06/03/2014									
Filer Information															
Name of Reporting Person		Street Address				City		State		ZIP Code		Relationship of Reporting Person to Issuer			
Frank J. Fahrenkopf, Jr.		c/o First Republic Bank 111 Pine Street				San Francisco		CA		94111		Director			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned															
Title of Security			Transaction Date	Deemed Execution Date	Transaction Code	Y	Amount of Securities Acquired or Disposed of		Price of Securities Acquired or Disposed of	Amount of Securities Beneficially Owned Following Reported Transactions		Ownership Form	Nature of Indirect Beneficial Ownership		
Common Stock (1)			06/03/2014				2,660 Shares (D)			22,646 Shares		Direct			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned															
Title of Derivative Security		Conversion or Exercise Price of Derivative Security	Transaction Date	Deemed Execution Date	Transaction Code	Y	Number of Derivative Securities Acquired or Disposed of	Date Exercisable	Expiration Date	Title of Underlying Securities	Amount of Underlying Securities	Price of Derivative Security	Number of Derivative Securities Beneficially Owned Following Reported Transactions	Ownership Form of Derivative Securities	Nature of Indirect Beneficial Ownership
Restricted Stock Units (1)			06/03/2014		M		2,660 Shares (D)			Common Stock	2,660 Shares			Direct	
Stock Option (right to buy) (2)		\$15.00							08/27/2020	Common Stock	15,000 Shares		15,000	Direct	
Explanation of Responses															
(1) Upon vesting, one share of common stock was issued for each restricted stock unit.															
(2) The option becomes exercisable with respect to 3,750 shares on each August 27 of 2011, 2012, 2013 and 2014.															

* Signed by: _____ (/s/Michael J. Roffler, Attorney-in-Fact) Date: 06/05/2014

The agencies are collecting the information on Forms 3, 4, and 5 pursuant to 15 USC 78l, to assist investors in making investment decisions. The burden estimate for providing the required information on Forms 3, 4, and 5 ranges from 0.5 to 1.0 hour for each form. Comments on the accuracy of this burden estimate and suggestions for reducing this burden may be addressed to PRA Clearance Officer, Legal Division, FDIC, 550 17 St. NW, Washington, DC 20429 (for State nonmember banks); Cindy Ayouch, Division of Research and Statistics, Board of Governors of the Federal Reserve System, 20th & C St., NW, Mailstop 41, Washington, DC 20551 (for State member banks); or Jessie Dunaway, Clearance Officer, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 250 E Street, SW, Mailstop 8-4, Washington, DC 20219 (for National banks); or Marilyn Burton, Senior Paralegal (Regulations), Chief Counsel, Regulations & Legislation. The agencies may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.

* Intentional misstatements or omission of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

[Return to Previous Screen](#)

Last Updated 9/30/2004

FDICconnect@fdic.gov

[Home](#) | [Contact Us](#) | [Search](#) | [Help](#) | [SiteMap](#) | [Forms](#) | [En Español](#)

[Website Policies](#) | [Privacy Policy](#) | [Plain Writing Act of 2010](#) | [USA.gov](#) | [FDIC Office of Inspector General](#)

[Freedom of Information Act \(FOIA\) Service Center](#) | [FDIC Open Government Webpage](#) | [No FEAR Act Data](#)