

AVAGO TECHNOLOGIES LIMITED

OPEN DOOR POLICY FOR REPORTING COMPLAINTS

Statement of Policy

Avago Technologies Limited (“*we*” or the “*Company*”) is committed to open discussion in the workplace of our business practices and to complying with all applicable laws and regulations. Accordingly, the Company will not tolerate conduct that violates such laws and regulations. Each Company employee, including employees of our subsidiaries, is encouraged to promptly report a good faith complaint regarding accounting or auditing matters in accordance with the provisions of this policy. Any other third party such as vendors, consumers, stockholders or competitors also may report, under this policy’s procedures, a good faith complaint regarding accounting or auditing matters. To facilitate this process, the Audit Committee (“*Audit Committee*”) of our Board of Directors has established the procedures in this policy for (i) the receipt, investigation, retention and treatment of complaints regarding accounting, internal accounting controls or auditing matters (“*Accounting Matters*”), and (ii) the confidential, anonymous submission by Company employees of concerns regarding accounting or auditing matters. This policy supplements the Company’s Code of Business Conduct and Ethics (“*Code*”), and should be read in conjunction with the Code.

Reports made under this policy must be made in good-faith, with a reasonable belief in the truth and accuracy of the information reported. Further, except as required by law, this policy does not permit any Company employee to take any action which would violate the confidentiality of any applicable attorney-client privilege to which the Company or its agents may be entitled under statute or common law principles, or violate the Company employee’s confidentiality obligations with regard to Company proprietary information.

Scope of Accounting Matters Covered by Policy

This policy covers complaints relating to Accounting Matters, including, without limitation:

- fraud, deliberate error or gross negligence or recklessness in preparing, evaluating, reviewing or auditing any Company financial statement;
- fraud, deliberate error or gross negligence or recklessness in recording and maintaining Company financial records;
- deficiencies in, or noncompliance with, the Company’s internal accounting controls;
- misrepresentation or false statements to management, regulators, the outside auditors or others or by a senior officer, accountant or other employee regarding a matter contained in the Company’s financial records, financial reports or audit reports; or
- deviation from full and fair reporting of the Company’s results or financial condition.

Policy of Non-Retaliation

It is the Company’s policy to comply with all applicable laws that protect our employees (including the employees of our subsidiaries), against unlawful discrimination or retaliation by us or our agents as a result of their lawfully reporting information regarding, or their participation in, investigations involving Accounting Matters. If any employee believes he or she has been subjected to any harassment, threat, demotion, discharge, discrimination or retaliation (a “*Retaliatory Act*”) by the Company or its agents for reporting complaints regarding Accounting Matters in accordance with this policy, he or she may file a complaint with our Compliance Officer (see below). Complaints regarding potential Retaliatory Acts will be handled as set forth below under “Policy for Receiving and Investigating Complaints.”

Compliance Officer

The Audit Committee has appointed the Vice President & General Counsel as the “*Compliance Officer*” responsible for administering this policy. Our Compliance Officer’s contact information is:

Name: Patricia H. McCall

Phone: 408.435.6502

Email: Compliance.Officer@avagotech.com

The Compliance Officer is responsible for receiving and reviewing and then investigating (under the Audit Committee’s direction and oversight) complaints under this policy. If an employee has a complaint regarding an Accounting Matter, he or she should report such matter to the Compliance Officer. If the suspected violation involves the Compliance Officer, the employee should instead report the suspected violation to our Audit Committee:

Name	E-mail
Justine Lien	justinelien712@yahoo.com
Donald Macleod	donbmacleod@gmail.com
James Diller, Sr.	jdiller@pacbell.net

Anonymous Reporting of Complaints

We have also established procedures for reporting Accounting Matters complaints anonymously and complaints about a potential Retaliatory Act. Employees may anonymously report complaints one of three ways:

1. The Avago Compliance Hotline, hosted by EthicsPoint, is available. EthicsPoint, a third-party vendor, has been retained by Avago to provide secure and independent reporting capability. You may use either of the following methods to file a report on the Compliance Hotline:
 - a. **File a Report** on the web page hosted on EthicsPoint’s secure server. You can click on [File a Report](#) or go to the [EthicsPoint website](#), or
 - b. **Call** (800) 422-3240 in the United States and Canada, or for other international numbers [click here](#).
2. **Email** our Compliance Email Box at Compliance.Officer@avagotech.com; or
3. **Mail** the complaint via regular mail to the Compliance Officer at 350 West Trimble Road, MS 90MG, San Jose, California, U.S.A. 95131.

The anonymous complaint procedure provides a mechanism for an employee to bypass a supervisor he or she believes is engaged in prohibited conduct. Anonymous reports should be factual, not speculative or conclusory, and should contain as much specific information as possible to allow the Compliance Officer and other persons investigating the report to adequately assess the nature, extent and urgency of the investigation. For all anonymous reports, the Company will prohibit any attempt to trace any emails or voicemails unless required by law.

Policy for Receiving and Investigating Complaints

Upon receipt of a complaint, the Compliance Officer will determine whether the information alleged in the complaint pertains to an Accounting Matter or a Retaliatory Act. The Audit Committee shall be notified promptly of all complaints determined to pertain to an Accounting Matter and shall determine the planned course of action with respect to the complaint, including determining that an adequate basis exists for commencing an investigation. The Compliance Officer will then appoint one or more internal and/or external investigators to promptly and fully investigate each viable claim (under the direction and oversight of the Audit Committee or such other persons as the Audit Committee determines to be appropriate under the circumstances in the case of an Accounting Matter). The Compliance Officer will confidentially inform the reporting person (if his or her identity is known) that the complaint has been received and provide him or her with the name of, and contact information for, the investigator assigned to the claim.

Confidentiality of the employee submitting the complaint will be maintained to the fullest extent possible, consistent with the need to conduct an adequate investigation. In the course of any investigation, the Company may find it necessary to share information with others on a “need to know” basis. If the investigation confirms that a violation has occurred, the Company will promptly take appropriate corrective action with respect to the persons involved, including discipline up to and including termination, and, in appropriate circumstances, referral to governmental authorities, and will also take appropriate steps to correct and remedy any violation.

Retention of Complaints

The Compliance Officer will maintain a log of all complaints, tracking their receipt, investigation and resolution, and shall prepare periodic summary reports thereof for the Audit Committee. The Audit Committee and, at the discretion of the Compliance Officer, other personnel involved in the investigation of complaints, shall have access to the log. Copies of the log and all documents obtained or created in connection with any investigation will be maintained in accordance with our document retention policies.